

Electronic Acknowledgement Receipt

EFS ID:	7445292
Application Number:	09923035
International Application Number:	
Confirmation Number:	8962
Title of Invention:	Methods and apparatus for formulation, initial public or private offering, and secondary market trading of risk management contracts
First Named Inventor/Applicant Name:	John Nafeh
Customer Number:	22830
Filer:	Kent Brian Bathurst
Filer Authorized By:	
Attorney Docket Number:	PA3817US
Receipt Date:	19-APR-2010
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$65
RAM confirmation Number	6967
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Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		PA3817US041910.pdf	157351 b1201c7b62b9aa88282372e90273ced974268fa9	yes	21

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1	
	Specification	2	2	
	Claims	3	9	
	Applicant Arguments/Remarks Made in an Amendment	10	21	

Warnings:

Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30316 5255771ab8ad15278f1a4902290687b668c756f5	no	2
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Warnings:

Information:

Total Files Size (in bytes):	187667
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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